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Patent  
PD-200181  
Customer No. 020991

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ernest C. Chen

Serial No.: 09/844,401  
Filed: April 27, 2001

For: LAYERED MODULATION FOR  
DIGITAL SIGNALS

Group Art Unit: 2611  
Examiner: Emmanuel Bayard

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: Date: October 23, 2006

**SUPPLEMENTAL DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (d)**  
**WITH FEE AS SET FORTH IN §1.17(p)**

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The reference cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

The reference was cited in an Examiner's Answer dated August 9, 2006, in related patent application serial number 10/165,710 filed June 7, 2002.

This statement should be considered because it is submitted after the period specified under 37 C.F.R. §1.97(c), but filed on or before payment of the issue fee as set forth in 37 C.F.R. § 1.97(d).

Certification Under 37 C.F.R. §1.97(e)

Each item of information contained in the information disclosure statement was first cited in a communication from the European patent office in the counterpart foreign application of a related application not more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. §1.97(e)(1)).

PAYMENT OF FEE SET FORTH IN §1.17(p)

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This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

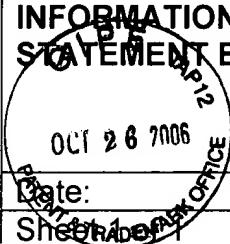
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October 23, 2006

Substitute for form PTO/SB/08A		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> 		Application Number	09/844,401
		Filing Date	April 27, 2001
		First Named Inventor	Ernest C. Chen
		Art Unit	2611
		Examiner Name	BAYARD, Emmanuel
Date:	October 23, 2006		
Sheet 1 of 1		Attorney Docket Number	PD-200181

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number  Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document  Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		THE AUTHORITATIVE DICTIONARY OF IEEE STANDARDS TERMS; Seventh Edition, pp. 1047 – definition of “signal”	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.